

Adult Social Care Level 2 Finance Commentary

Month End **Dec-12**
 Directorate **Adult Social Care**
 Date **22-Jan-13**

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Section 2: Summary Financial Position

Budget & Summary Position

Previous Month Variance £000s	Policy Line	Month Budget £000s	Month Actual £000s	Month Variance £000s	YTD Budget £000s	YTD Actual £000s	YTD Variance £000s	Full Year Budget £000s	Projected Outturn £000s	Projected Outturn Variance £000s	Change from Prev month £000s
	Older People										
(55)	Nursing General	1,685	1,769	84	15,165	15,615	450	20,219	20,538	319	374
1,748	Nursing Dementia	788	1,075	287	7,094	8,530	1,435	9,459	11,328	1,869	122
(17)	Residential General - External	3,380	3,306	(74)	30,419	30,044	(374)	40,558	40,541	(17)	(0)
1,191	Residential Dementia - External	1,014	1,108	94	9,127	9,880	753	12,170	13,450	1,280	89
448	Residential In-House Provision	580	564	(17)	5,222	5,761	539	6,962	7,492	529	81
1,052	Homecare - External	2,985	3,182	198	26,861	27,879	1,017	35,815	36,932	1,116	65
(1,177)	Reablement In-House Provision	683	548	(135)	6,147	5,078	(1,069)	8,196	6,938	(1,258)	(81)
(16)	Extra Care In-House Provision	103	91	(12)	925	916	(8)	1,233	1,223	(10)	6
(770)	Direct Payments	945	937	(8)	8,503	7,469	(1,034)	11,337	10,689	(648)	121
190	Day Care - External	212	210	(1)	1,904	1,855	(49)	2,538	2,733	194	5
44	Day Care In-House Provision	14	16	2	125	122	(3)	167	193	26	(18)
472	Respite Care	119	155	36	1,069	1,627	557	1,426	1,975	549	77
126	Transport Services	39	52	13	352	503	151	469	695	226	100
216	Other Care	869	710	(159)	6,882	7,004	122	8,147	8,398	251	34
3,451	Total Older People	13,414	13,723	309	119,794	122,281	2,487	158,697	163,122	4,425	974
	Physical and Sensory Disabilities										
266	Nursing General	294	356	62	2,647	2,987	341	3,529	3,834	306	39
26	Nursing Dementia	9	10	1	77	99	22	103	128	26	0
(514)	Residential General - External	522	555	34	4,696	4,411	(285)	6,261	5,878	(382)	132
12	Residential Dementia - External	9	16	7	84	97	13	112	137	24	12
0	Residential In-House Provision	0	0	0	0	12	12	0	0	0	0
448	Supported Living / Homecare	481	684	203	4,330	4,757	427	5,773	6,395	622	174
1,311	Direct Payments	1,201	1,486	285	10,810	12,012	1,202	14,413	15,857	1,444	133
22	Day Care - External	79	83	4	708	725	17	945	970	26	4
(28)	Day Care In-House Provision	45	36	(8)	401	365	(36)	535	524	(11)	17
70	Respite Care	37	38	1	332	403	71	443	514	70	1
(32)	Transport Services	30	32	2	268	240	(28)	358	323	(35)	(3)
(42)	Other Care - External	1,164	877	(287)	10,469	10,279	(191)	13,960	13,947	(13)	29
0	Other Care In-House Provision	0	0	(0)	0	0	0	0	0	0	0
1,539	Total Physical and Sensory Disabilities	3,869	4,173	303	34,823	36,387	1,565	46,431	48,507	2,076	537
	People with Learning Disabilities										
179	Nursing General	40	64	24	359	501	141	479	673	194	15
32	Nursing Dementia	16	19	3	146	171	25	194	227	32	0
3,226	Residential General - External	5,520	6,209	689	49,681	52,843	3,162	66,242	70,325	4,083	857
6	Residential Dementia - External	6	7	1	56	61	5	75	81	6	0
2	Residential In-House Provision	417	273	(144)	3,757	3,759	2	5,009	5,009	1	(2)
3,680	Supported Living / Homecare - External	1,441	1,924	483	12,973	15,351	2,378	17,298	20,895	3,597	(83)
(126)	Supported Living / Homecare In-House Provision	61	44	(17)	549	499	(51)	732	596	(136)	(10)
1,057	Direct Payments	814	1,031	217	7,330	7,987	657	9,773	11,037	1,264	206
(290)	Day Care - External	389	250	(139)	3,505	3,035	(470)	4,674	4,370	(304)	(14)
(24)	Day Care In-House Provision	521	259	(261)	4,686	4,612	(75)	6,248	6,187	(62)	(38)
(497)	Respite Care	77	34	(43)	692	291	(401)	923	511	(412)	86
333	Transport Services	87	137	49	785	1,071	285	1,047	1,439	392	59
(464)	Other Care - External	394	332	(61)	3,543	2,852	(691)	4,724	4,153	(571)	(107)
18	Other Care In-House Provision	118	107	(11)	1,059	1,009	(51)	1,413	1,402	(10)	(28)
7,133	Total People with Learning Disabilities	9,903	11,079	1,176	89,123	94,039	4,916	118,831	126,905	8,075	942
	Mental Health and Substance Misuse										
(23)	Nursing General	38	36	(2)	341	323	(18)	455	430	(25)	(1)
39	Nursing Dementia	4	7	3	35	63	28	47	85	39	0
(309)	Residential General	214	190	(24)	1,928	1,586	(342)	2,570	2,253	(317)	(8)
(32)	Residential Dementia	5	0	(5)	44	25	(19)	59	26	(33)	(1)
167	Supported Living / Homecare	191	221	30	1,720	1,784	64	2,293	2,482	188	22
25	Direct Payments	23	21	(2)	205	230	25	273	306	32	7
(2)	Day Care	5	3	(2)	45	21	(24)	59	44	(16)	(13)
6	Respite Care	0	0	(0)	0	13	13	1	7	6	0
7	Transport Services	1	1	0	8	10	2	11	19	8	1
(6)	Other Care	140	137	(2)	1,257	1,265	8	1,676	1,689	12	18
(129)	Total Mental Health and Substance Misuse	620	617	(3)	5,583	5,321	(262)	7,445	7,340	(105)	24
	Other Expenditure										
(2,208)	Management and Support	1,630	1,310	(320)	14,674	12,234	(2,440)	19,565	16,760	(2,805)	(598)
(1,358)	Assessment, Care Management	2,241	2,063	(178)	20,166	18,408	(1,758)	26,888	25,098	(1,790)	(432)
(521)	Supporting People	1,313	1,182	(131)	11,824	11,357	(467)	15,762	15,141	(622)	(101)
(4,087)	Total Other Expenditure	5,184	4,555	(629)	46,664	41,999	(4,665)	62,216	56,999	(5,217)	(1,130)
	Income										
(299)	Fees & Charges	(2,810)	(3,083)	(274)	(25,287)	(26,105)	(818)	(33,716)	(34,300)	(583)	(285)
334	Section 256/7 Fees & Charges	(328)	(390)	(62)	(2,951)	(2,760)	190	(3,934)	(3,653)	281	(53)
1,208	Joint Funded Care Package Income	(283)	(280)	3	(2,547)	(2,011)	537	(3,396)	(2,314)	1,082	(125)
0	Government Grants	(22)	0	22	(195)	(0)	195	(260)	(260)	0	0
105	Section 256/7 Income	(148)	(138)	10	(1,336)	(1,256)	80	(1,782)	(1,672)	110	5
(5,306)	Other Income	(1,213)	(1,124)	89	(10,989)	(12,396)	(1,407)	(13,287)	(19,141)	(5,853)	(548)
(3,958)	Total Income	(4,804)	(5,015)	(212)	(43,306)	(44,529)	(1,223)	(56,376)	(61,339)	(4,963)	(1,005)
3,949	Net Expenditure	28,187	29,131	944	252,682	255,499	2,817	337,243	341,534	4,291	342

Subjective		Month	Month	Month	YTD Budget	YTD Actual	YTD	Full Year	Projected	Projected	Change
Previous	Subjective Type	Budget	Actual	Variance			Variance	Budget	Outturn	Outturn	from Prev
Variance										Variance	month
£000s		£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s
(3,338)	Staffing	5,881	5,481	(399)	52,925	49,525	(3,400)	70,567	66,170	(4,396)	(1,059) ↓
11,245	Non Staffing	26,921	28,665	1,744	242,289	250,502	8,213	323,053	336,703	13,650	2,406 ↑
(3,958)	Income	(4,698)	(5,015)	(317)	(42,282)	(44,529)	(2,246)	(56,376)	(61,339)	(4,963)	(1,005) ↑
3,949	Net Expenditure	28,104	29,131	1,028	252,932	255,499	2,567	337,243	341,534	4,291	342 ↑

Services Summary		Month	Month	Month	YTD Budget	YTD Actual	YTD	Full Year	Projected	Projected	Change
Previous	ASC Division	Budget	Actual	Variance			Variance	Budget	Outturn	Outturn	from Prev
Variance										Variance	month
£000s		£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s	£000s
5,183	Personal Care & Support	20,806	22,520	1,714	187,241	191,634	4,393	249,657	255,654	5,997	813 ↑
(13)	Service Delivery	1,634	1,235	(398)	14,704	14,822	118	19,605	19,578	(27)	(15) ↓
(251)	ASC Transformation	245	232	(13)	2,205	2,006	(199)	2,940	2,639	(301)	(50) ↓
(1,105)	Commissioning	5,336	4,969	(367)	47,029	45,595	(1,434)	63,035	61,492	(1,543)	(438) ↓
134	Strategic Support	167	176	9	1,504	1,442	(62)	2,005	2,171	165	31 ↑
3,949	Net Expenditure	28,187	29,131	944	252,682	255,499	2,817	337,243	341,534	4,291	342 ↑

Explanation of significant variances

The December projected outturn for Adult Social Care is an overspend of £4.3m. This represents an increase of £0.3m from the November position.

The ASC budget continues to face considerable pressures, leading to the forecast that an overspend of £4.3m (1.3% of the budget) is likely at year end. The main reasons for this remain as follow:

- all of the £3.8m underspend carried forward from 2011/12 has now been used to fund new pressures
- there are growing demand pressures within the main client groups, including transition from children's services, a trend which has increased since November but has been offset by increased income.
- staff recruitment difficulties and the need for complex partnership working have slowed delivery of some savings

The Whole Systems funding programme is in the second of its four years, with £10.2m allocation received in 2012/13. Joint plans have been agreed with NHS Surrey to spend this money on new projects which should help in the longer term to reduce pressures on care and health budgets through preventative mechanisms such as telecare and telehealth. The funding is being retained on the balance sheet and drawn down to match expenditure as it is incurred. Due to growing demand pressures it is proposed that £0.8m of Whole Systems funds will be drawn down as a contribution to help offset these pressures. This represents a reallocation of funding previously set aside for internal ASC projects and as such would not directly affect plans agreed with health and other partners.

In addition to the Whole Systems funding, £2.4m of Dept of Health funding allocated to the County Council via the PCT was received late in 2011/12 and so remained unspent at year-end. Given the reduction in this year's forecast of achievable savings, £2m of this funding is drawn down as a contribution towards ASC's wider budget pressures. Every effort will be made to maximise savings in the remainder of the year, which may reduce the amount of DoH funding needed for this purpose.

Further to the above health funding streams, the government has recently announced Winter Pressures funding for 2012/13. The County Council has bid for £2.9m of this funding on the basis of the pressures forecast to be incurred over the winter period. The pro rata allocation to Surrey would be £1.6m, so it is hoped that this is the minimum amount that will be received. This potential funding has not been included in the December position, but whatever income is received, the outcome should be known by the end of January, will help to reduce the current level of projected overspend.

The policy line summary shown above for Adult Social Care does not include a £1m contribution from the corporate centre to fund additional temporary staff to support more rapid progress with personalisation, which is to be matched by a £1m contribution from ASC. The recruitment of these staff is now due to take place next year, so hence the £1m corporate contribution has been included in the 2013/14 budget as part of the forward budget setting process.

This position does include the £1m corporate contribution towards partnership working with the districts and borough councils, which is matched by £1m from ASC. It is expected that this £2m will be spent in year, but in view of the separate identification of the sum by the leader for this partnership purpose, any balance will be retained on the balance sheet if not fully spent in 2012-13 for draw down in 2013-14.

Summary of Management Actions included in the December projections

Forecast Efficiency Savings in the remainder of 2012/13:

- > £(1.7)m Maximising Income through partnership arrangements. CHC savings of £(1.3)m have been validated as at the end of December 2012. Based on 2011/12 performance and the backlog of cases still awaiting assessment additional savings are expected, but full year savings have been reduced to £3m because of risks brought about by changes in health economy and growing numbers of individuals losing CHC with associated backdated payments to health that reduce the net CHC savings the department secures.
- > £(0.1)m S256 Attrition - £(2.0)m of savings were achieved in full as at the end of December. A further £(0.1)m of savings are projected for the remainder of the financial year.
- > £(0.3)m Delays in recruitment of the specialist PLD review team mean that achievement of some LD PVR savings will be delayed. Total savings in 2012/13 are now forecast to be £0.6m, of which £0.3m of savings had been achieved by the end of December 2012.
- > £(0.1)m Home Based Care Tender - a retender exercise has been completed by Procurement for 2012/13. This is anticipated to deliver savings as existing packages cease and are replaced by lower cost new services.
- > £(0.2)m Consistent application of the RAS - it is anticipated that a proportion of service users currently receiving a direct payment, will be identified as needing lower cost packages which will lead to reclaims of surplus balances. £2.1m of reclaims had been achieved by the end of December 2012.
- > £(0.2)m Further reductions in staffing costs - the current projections include ambitious recruitment plans. An adjustment has been made to account for some potential slippage of these plans.
- > £(2.0)m As a result of the reduction in this year's forecast savings it is now proposed that £2m of Additional DoH funding is drawn down as a contribution towards ASC's wider budget pressures.
- > £(0.6)m An adjustment has been applied to Older People Home Care projections to account to breaks in service and ceases not yet actioned in the AIS. This is in line with prior years' trends.

Older People: £4.4m overspend, an increase of £1.0m from November

The key variances within Older People services are:

- > £3.4m Overspend on Nursing and Residential placements mainly due to demand pressures that it has not been possible to absorb within the budget and underachievement against preventative, CHC and RAS savings against these policy lines.
- > £1.1m Spot Home Based Care pressures primarily due to MTFP efficiencies in relation to preventative savings not expected to be fully achieved within the current financial year.
- > £1.2m Overspend in relation to Other Community Services, including respite, day care and transport due to strategic shift as part of the personalisation agenda.
- > £0.6m Overspend within In-house residential homes including Day Care, due to MTFP efficiencies ascribed to this budget area being achieved within other areas in Service Delivery.
- > £(1.3)m Underspend within the Reablement service due to a high level of vacancies and delays in the appointment process.
- > £(0.6)m Underspend on Direct Payments primarily due to a reduction in the actual start position and an overachievement against the demography and inflation efficiencies.

£(0.8)m of Management Actions are included in the November monitoring position for Older People.

The main changes from last month are:

- > £0.4m Increase across Older People spot care packages mainly in Nursing due to changes in future forecasts to reflect current demand and back-dated packages.
- > £0.3m Reduction in Management Actions
- > £0.2m Other community care packages primarily due to a £100k increase in S256 transport costs for people who have transferred from Ethel Bailey to supported living plus and an increase of £100k in respite and other community services as part of SDS support planning.
- > £0.1m Increase in in-house Residential Homes

Physical Disabilities: £2.0m overspend, an increase of £0.5m from November

The key variances within Physical Disability services are:

- > £1.4m Overspend on Direct Payments due to the start position in spot care being higher than budgeted and a net increase of 107 DP services from April to December 2012/13.
- > £0.6m Overspend on Supported Living due to the start position in spot care being higher than budgeted, together with the under-achievement against preventative and strategic shift efficiencies.
- > £0.3m Overspend on Nursing spot care, mainly due to a net increase of 8 spot nursing care packages so far this year plus some MTFP savings being achieved against other policy lines.
- > £(0.3)m Underspend on Residential care, primarily due to lower than anticipated volumes of PSD transition clients.

£(0.1)m of Management Actions are included in the December monitoring position for PSD.

The main changes from last month were:

- > £0.2m Increase in Supported Living costs, mainly due to a net increase of 9 services in the last month.
- > £0.2m Increase in other PSD spot care costs, most notably Residential care due to 2 new packages being commissioned during December.
- > £0.1m Reduction in Management Action planned savings.

Learning Disabilities: £8.1m overspend, an increase of £1.0m from November

The key variances within People with Learning Disabilities services are:

- > £2.9m Overspend for PLD Transition clients due to growing demand pressures and increased volumes above those previously anticipated, forecast non-achievement of the £1m Optimisation of Transition Pathways efficiency and a number of high cost packages that the department has had to pick up this year.
- > £2.6m Overspend on Residential spot care mainly due to forecast under-achievement against strategic supplier review, preventative efficiencies, LD PVR and strategic shift efficiencies.
- > £2.0m Overspend on Supported Living spot care excluding S256 and Transition clients primarily because the start position was £1m higher than budgeted due to increased volumes in late 2011/12 (in line with the focus on community based provisions as part of personalisation), a net increase of 54 Supported Living services between April and December 2012 and under-achievement against preventative savings.
- > £1.2m Overspend on former S256 PLD clients due to anticipated under-achievement against MTFP efficiencies.
- > £0.2m Overspend on Nursing spot care due to a net increase of 3 services since the start of the financial year.
- > £(0.6)m Underspend across other community services, particularly on Other Community Care and Respite Care, due to a lower start of year position than originally forecast and a higher proportion of savings expected to be achieved against these service areas than was budgeted.
- > £(0.2)m Underspend on In-house Supported Living, Day Services and Residential care.

£(0.5)m of Management Actions are included in the December monitoring position for PLD.

The main changes from last month were:

- > £0.6m Reduction in Management Action planned savings, mainly relating to the reduction in forecast LD PVR savings this year.
- > £0.3m Increase in Residential spot care due to a high cost package being commissioned in December and correction of errors in the previous months' projections.
- > £0.1m Increase in Other Community Care due to a net increase of 12 services in December.

Mental Health: £(0.1)m underspend, no significant change in projection from November

The £0.1m underspend on Mental Health is due to an underspend on Substance Misuse within Residential Care offset by an overspend within Supported Living/Home Based care services

No significant change from the November report.

Other expenditure: £(5.2)m underspend, an increased underspend of £(1.1)m from November

The key reasons for the underspend on Other Expenditure are:

- > £(2.6)m Underspend on core establishment including on-costs due to ongoing workforce reconfiguration and delays in recruitment.
- > £(2.0)m Funds brought forward from 2011/12 being used to offset pressures within the main client group budgets.
- > £(0.6)m Underspend on Supporting People - this is due to achievement of the Supporting People efficiency through the renegotiation of contracts in respect of volume and unit costs ahead of the 4 year plan.

£(0.3)m of Management Actions are included in the December monitoring position for Other Expenditure.

The main changes from last month were:

- > £(0.6)m Increased underspend on core establishment budgets due to further recruitment delays and a senior management decision to not commence any new recruitment until the start of the next financial year.
- > £(0.4)m Increased underspend on funds carried forward from 2011/12 as a contribution to pressures within the main client groups.
- > £(0.1)m Reduction in the Supporting People spend due to the renegotiation of contracts.

Income: £(5.0)m surplus, an increased surplus of £(1.0)m from November

The key variances that make up the overall surplus forecast on income are:

- > £(5.8)m Surplus on Other Income due to £(3.5)m of draw downs of Additional DoH funding, Whole Systems and other historic balance sheet funding to help offset wider pressure, unbudgeted refunds for clients who are determined as CHC with a backdated effective date £(1.9)m, unbudgeted income within Service Delivery of £(0.3)m and £(0.1)m additional Carers income.
- > £(0.6)m Potential surplus on Fees & Charges based on the year to date position. Further work is underway to validate this potential surplus.
- > £1.1m Shortfall on Joint Funded care package income, mainly caused by a reduction in the number of joint funded clients due to ongoing reviews of historical joint funding arrangements which usually result in clients being determined as either 100% CHC or 100% social care.
- > £0.3m Shortfall on Section 256 fees & charges and Section 256 Mental Health income caused by reductions in S256 user numbers and offset by reductions in expenditure as a result.

£(4.3)m of Management Actions are included in the November monitoring position for Income.

The key changes from last month were:

- > £(0.5)m Increase in Other Income due to £(0.2)m changes to Management Actions in respect of CHC, increase of £(0.1)m in Q3 bad debt provision, reimbursement of £(0.1)m against Carer

Adult Social Care 2012-13 Efficiency Tracker - October 2012

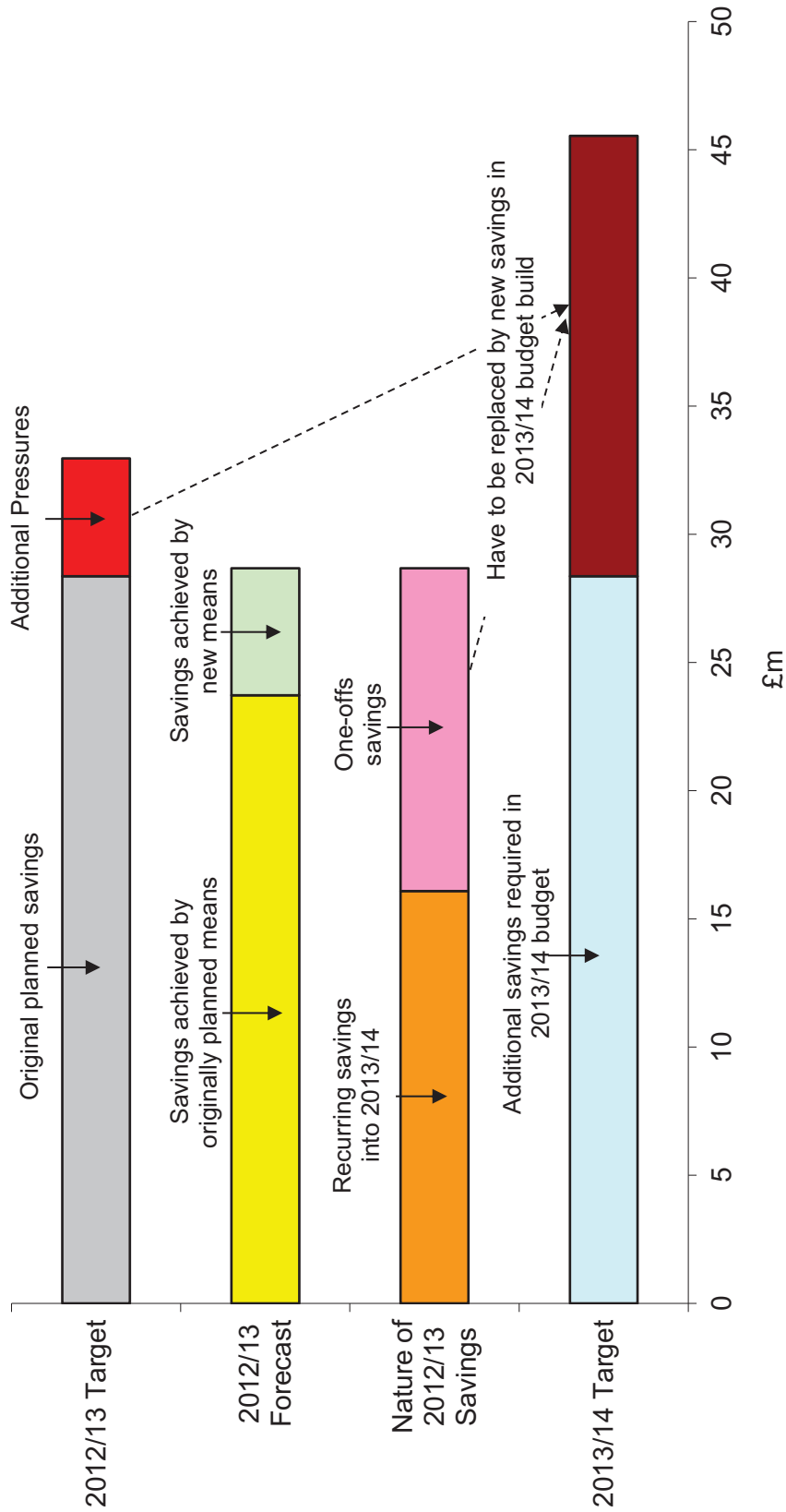
Description of Efficiency	Division Budget	ASC Efficiency Owner	ASC Project Stream	2012/13 Original Target	2012/13 Revised Target	Savings Type	Budget Status	Planned Start Date	Frequency of Savings	Achieved Apr 12 - Dec 12	Forecast Jan 12 - Mar 13	Total Forecast Savings	(Over) / Under Target	Performance	Lifelihood	RAG Score	RAG Status	Planning Status	Service Planned Actions to Achieve Efficiency / Other Comments	Procurement Contribution		Nature of Savings		October Management Actions	
				£000	£000					£000	£000	£000	£000							£000	£000	£000	%	One-off	Recurring
Optimisation of Spot Care Rates	PCS	Anne Butler	Commissioning & Procurement	(5,252)	(5,252)	Non-Cashable	Budget Out	Apr-12	Monthly	(4,060)	(1,353)	(5,413)	(161)	6	4	24	G	Plan in Progress	Budget set with 0.5% increase - for all Residential & Nursing packages no uplift for Community services. SCL negotiations awaiting outcome of National Prices exercise.	(5,413)	100%	(5,413)		0	
Maximising Income through Partnership Arrangements	PCS	Simon Laker	Health & Social Care Systems	(4,000)	(4,000)	Cashable	Budget Out	Apr-12	Monthly	(1,320)	(1,680)	(3,000)	1,000	5	3	15	A	Plan in Progress	Implementation of new Joint CHC Health & Social Care Team. The dedicated CHC team has worked hard in conjunction with finance and the service to both put in place internal processes and negotiate with relevant PCTs to maximise savings. Detailed exercise ongoing with NHS Surrey to work through backlog of outstanding assessments. However, risks brought about by changes in the structure of health and a number of cases arising where individuals have lost CHC leading to additional costs for SCL mean that full achievement of the ambitious savings target is now unlikely.	0	0%	(950)	(2,050)	(1,739)	
Preventative Savings through Whole Life Systems interventions including Telecare	PCS	Anne Butler / Melanie Buscott	Prevention through Partnerships	(3,600)	(1,800)	Cashable	Budget Out	Jul-12	Monthly	0	0	0	1,800	1	6	6	R	Part Plan in Progress	A combination of the delay in rollout of the telecare strategy across Surrey and a change in the focus of this strategy towards a broader offering to all Surrey residents to better fit with the aims of Social Care White Paper mean that savings are delayed and will be at a reduced rate: a prudent view has been taken of the impact in 2012-13 as the methodology for evaluating savings achieved is still under development.	0	N/A	0	0	0	
Absorption of Demographic Pressures	PCS	Dave Sargeant	Health & Social Care Systems	(2,938)	(2,938)	Non-Cashable	Budget Out	Apr-12	Monthly	0	0	0	2,938	1	6	6	R	Plan in Progress	Start position and demographic changes Apr 12 - Dec 12 are showing a combined demographic pressure of £8.2m. As such none of the planned £2.8m absorption of demographics is forecast to be achieved.	0	N/A	0	0	0	
Learning Disabilities Public Value Review	PCS	Anne Butler	Valuing People Now	(2,000)	(2,000)	Cashable	Budget Out	Jul-12	Monthly	(271)	(329)	(600)	1,400	3	4	12	A	Plan in Progress	Savings to be delivered in line with LD PVR project plan. Delay in recruitment of the specialist LD PVR review team mean that achievements in savings will also be delayed, hence the shortfall against the MTFP target now forecast for 2012/13.	(219)	37%	(600)		(705)	
Strategic Shift from Residential care to Community based provision	PCS	Dave Sargeant	Health & Social Care Systems	(1,752)	(1,752)	Cashable	Budget Out	Apr-12	Monthly	(248)	(83)	(331)	1,421	2	5	10	R	Plan in Progress	Continued work to deliver strategic shift and continuing sign off of RAG responses by SMI/ASM. The service is successfully shifting the balance of care away from residential to nursing in the long term. This will achieve savings in the long-term, but only a limited amount of in-year savings are expected.	(200)	60%	(331)		0	
Section 256 Client Group Savings	PCS	Dave Sargeant	Valuing People Now	(1,500)	(1,500)	Cashable	Budget Out	Apr-12	Monthly	(1,987)	(73)	(2,060)	(560)	6	6	36	G	Plan in Progress	Overachievement of the savings target to forecast due to higher levels of attrition than originally forecast.	0	0%	(2,060)		(183)	
Extract Better Value from Block Contracts	Comm	Anne Butler	Commissioning & Procurement	(1,400)	(1,400)	Cashable	Budget Out	Apr-12	Monthly	(1,444)	(481)	(1,925)	(525)	6	6	36	G	Plan in Progress	Sell back of 32 beds achieved in the last quarter of 2011-12 has resulted in savings in 2012-13. Further savings were secured as a result of favourable inflation negotiations.	(361)	19%	(1,925)		0	
Optimisation of Transition Pathways	PCS	Dave Sargeant	Health & Social Care Systems	(1,000)	(1,000)	Non-Cashable	Budget Out	Sep-12	Monthly	0	0	0	1,000	1	6	6	R	Plan in Progress	A budget including efficiencies of £3.3m was set for new transition clients entering Adults from Children's in 2012/13. Costs for new clients are currently forecast to be in excess of £3m due to higher numbers than previously forecast and some high cost cases that ASC has had to pick up this year. As such none of the £1.0m Transition Pathways efficiency is forecast to be achieved.	0	N/A	0	0	0	
Strategic Supplier Review	PCS	Anne Butler	Commissioning & Procurement	(1,000)	(1,000)	Cashable	Budget Out	Apr-12	Monthly	(470)	(123)	(593)	407	4	5	20	G	Plan in Progress	SSR savings will be a combination of flow through of actions taken in the previous financial year and new commissioning initiatives still in the process of being determined.	(593)	100%	(572)	(21)	0	
Manage costs below budget on a one-off basis	PCS	Sarah Mitchell	Workforce Development	(929)	(3,029)	Cashable	Credit Budget	Apr-12	Monthly	(3,480)	(1,160)	(4,640)	(1,611)	6	5	30	G	Plan in Progress	A high level of vacancies due to ongoing workforce reconfiguration and delays in recruitment processes combined with underspending against the of budget from 2011/12 as a contribution to wider ASC pressures has led to projected one-off savings of £1.6m. The overall target for one-off savings has been increased to £3.0m as a result of the reduction of the WLS preventative savings target by £1.8m and the removal of the Social Enterprise Plus target of £0.3m.	0	0%	(4,640)		(500)	
Other Commissioning Strategies	Comm	Anne Butler	Commissioning & Procurement	(800)	(800)	Cashable	Credit Budget	Apr-12	Monthly	(692)	(108)	(800)	0	6	5	30	G	Plan in Progress	Actions already taken to achieve the majority of the savings. The underachievement is being offset by an overachievement of Commissioning savings on block contracts and Supporting People.	0	0%	(112)	(688)	0	
Apply Resource Allocation System more consistently	PCS	John Woods	Technology	(500)	(500)	Cashable	Budget Out	Apr-12	Monthly	(2,070)	(235)	(2,305)	(1,805)	6	5	30	G	Plan in Progress	As part of the continued application of the RAG it is expected that a proportion of service users who currently receive Direct Payments will be identified as needing lower cost packages which will lead to realignments of surplus balances.	0	0%	(2,305)		(533)	
Recommission Supporting People Contracts	Comm	Anne Butler	Commissioning & Procurement	(400)	(400)	Cashable	Budget Out	Apr-12	Monthly	(764)	(255)	(1,018)	(618)	6	6	36	G	Plan in Progress	Renegotiation of Supporting People contracts in respect of volume and unit costs is anticipated to achieve £1.0m of savings. This is a 4 year programme which is currently ahead of schedule.	(668)	66%	(1,018)		0	
General In-House Service Efficiencies, including Shadow Trading Accounts	SD	Debbie Medlock	In-House	(400)	(400)	Cashable	Credit Budget	Apr-12	Monthly	(320)	(107)	(427)	(27)	6	5	30	G	Plan in Progress	Underspend in some in-house services and efficiencies as a result of vacancies on other one-off savings.	0	0%	(427)		0	
Optimisation of Block Contract Rates	Comm	Anne Butler	Commissioning & Procurement	(389)	(389)	Cashable	Budget Out	Apr-12	Monthly	(365)	(122)	(486)	(97)	6	5	30	G	Plan in Progress	No inflation has been offered on any contracts outside of the block contracts.	0	0%	(486)		0	
Social Enterprise Pilot	Strat Sup	Graham Willan	In-House	(300)	0	Cashable	Credit Budget	Jan-13	Monthly	0	0	0	0	0	0	0	0	0	Plan in Progress	As the First Point pilot is still in its initial pilot phase, no savings are expected to be achieved in the current financial year.	0	N/A	0		0
Home Based Care Retender	PCS	Anne Butler	Commissioning & Procurement	(200)	(200)	Cashable	Budget Out	Apr-12	Monthly	(93)	(31)	(124)	76	4	4	16	A	Plan in Progress	These savings relate to new cases and so are likely to be achieved in the remaining part of the financial year.	(124)	100%	(124)		(64)	
Strategic Review In-House 13-14																									
Streamlining NHS Community Budget 13-14																									
Community Budget Efficiencies 13-14																									
New Strategies 13-14																									
				(28,360)	(28,360)					(17,983)	(6,139)	(23,722)	4,637								(7,978)	32%	(9,046)	(14,676)	(3,724)

Other Adult Social Care Savings / Pressures

Other contract & grant savings / pressures in Commissioning	Comm	Anne Butler	N/A	0	0	Cashable	N/A	Apr-12	Monthly	(150)	(50)	(203)	(201)	6	6	36	G	Plan in Progress	Savings / pressures on contracts and grant budgets outside of the block contracts.	(118)	59%		(201)		0
Transformation savings	Trans	John Woods	N/A	0	0	Cashable	N/A	Apr-12	Monthly	(74)	(25)	(98)	(98)	6	6	36	G	Plan in Progress	Realignment of Right to Control grant expenditure has led to reduction in projected costs.	0	0%	(98)		0	
Overprojection of Older People spot Home Care costs	PCS	Dave Sargeant	N/A	0	0	Cashable	N/A	Apr-12	Monthly	(450)	(150)	(600)	(600)	6	4	24	G	Part Plan in Progress	Past trends of overprojecting homecare combined with a low level of cases for the YTD position mean that OP homecare costs are likely to currently be overprojected. Based on past trends and the YTD spend, there is evidence that costs are over-projected and so projected costs have been reduced by £0.6m instead of including this as a management action.	0	0%	(600)		(600)	
Additional Demographic Pressures - Spot Care	PCS	Dave Sargeant	N/A	0	0	Cashable	N/A	Apr-12	Monthly	2,454	818	3,272	3,272	6	4	24	G	Plan in Progress	The start position and demographic changes Apr 12 - Dec 12 are showing a combined demographic pressure of £8.2m. Not only does this mean that none of the planned £2.8m absorption of demographic pressures efficiency is being achieved, but an additional pressure of £3.3m is being forecast. Demographic changes in the remainder of the year could alter this position.	0	0%			3,272	
Additional Demographic Pressures - Transition	PCS	Dave Sargeant	N/A	0	0	Cashable	N/A	Apr-12	Monthly	997	332	1,329	1,329	6	4	24	G	Plan in Progress	An increase in the number of high cost transition cases that Adults have had to pick up this year means that additional pressures are forecast for transition over and above the non-achievement of the £1m Optimisation of Transition pathways efficiency saving target.					1,329	
One-off funding to offset wider ASC pressures	All	Various	N/A	0	0	Cashable	N/A	Oct-12	Monthly	(1,718)	(1,718)	(3,436)	(3,436)	6	5	30	G	Plan in Progress	As a result of the growing budget pressures ASC is facing this year, a decision has been taken to draw down £2.0m of Additional Department of Health funds received in 2011/12, £0.8m of Whole Systems funding and £0.6m of historic funding on the balance sheet as one-off contributions to the bottom line in 2012/13.	0	0%	(3,436)		(2,800)	
Fees & Charges	PCS	Dave Sargeant	N/A	0	0	Cashable	N/A	Apr-12	Monthly	(439)	(146)	(585)	(585)	6	3	18	A	Plan in Progress	The year to date position for Fees & Charges suggests that a surplus of £0.6m may be achieved by year end.	0	0%		(585)	(300)	
Retaining savings / pressures to August Monitoring	All	Various	N/A	0	0	Cashable	N/A	Apr-12	Monthly	(21)	(7)	(28)	(28)	6	6	36	G	Plan in Progress	Other savings, pressures and adjustments	0	0%		(28)	0	
				0	0					599	(946)	(346)	(346)								(118)	24%	(3,534)	(3,181)	(3,700)

Total Forecast (Over) / Under Achievement vs MTFP				(28,360)	(28,360)					(16,984)	(7,085)	(24,069)	4,291								(7,698)	32%	(12,580)	(11,488)	(7,424)
Remaining 2011/12 Carry Forward available after funding of current budget pressures														6	6	36	G								
Potential (Surplus) / Deficit at year-end													4,291												
Planned Carry Forward into 2013/14													0												
Revised Projected (Surplus) / Deficit at year-end													4,291	6	6	36	G								

2012/13 Adult Social Care Efficiency Summary



2012 13 Full Year Forecast		Revised Full Year Budget	Full Year Forecast	Full Year Variance	YTD Actual	Already Committed in SAP	Total Approved To Date
		£000s	£000s	£000s	£000s	£000s	£000s
Major Adaptations		700	535	-165	260	36	777
D&B developments - wellbeing centres		200	200	0	0	0	200
In-house capital improvement schemes		637	637	0	128	526	657
User led organisational hubs		150	150	0	0	0	102
NHS Campus Reprovision Project		0	0	0	-7	0	0
Total Adult Social Care		1,687	1,522	-165	381	562	1,736

Major Adaptations

£777k of Major Adaptations have been approved to date however it is likely that due to a delay in building projects that £165k will not be completed until the next financial year. A request to carry forward this underspend will be made by the Service.

Wellbeing Centres

£200k has been approved for capital works - this is currently being chased to confirm actual in year spend, however any under-spend will be requested as a carry forward to complete the identified schemes.

In-House Improvement

£657k of spend has been approved to date of which £526k has been committed in SAP plus £260k of year to date actuals. It is anticipated that there will be some delays in completion by year end reflected in the projection to budget. Budgets have now been finalised with EPM for the Fire Safety works and use of funds carried forward from the previous financial year for in-house schemes.

User Led Hubs

£102k has been approved for capital works - delays in completion of project may result in some of this spend not being completed by year end and needing to request a carry forward for completion in 2013-14.